



IMPORTANT NOTICE REGARDING CONVICTIONS PLEASE READ CAREFULLY

Failure to accurately report a conviction (except as explained below) may result in an application being denied. In this notice and on the application, the term “conviction” includes pleading guilty or nolo contendere or being convicted by a court of any misdemeanor or felony in this state or elsewhere.

As part of the CSLB application process and as required by law, you must be fingerprinted if you have not been fingerprinted for CSLB before or if your previous fingerprint record was purged by CSLB due to a voided application; disassociated, revoked, or cancelled license; or for some other reason. After an application is accepted by CSLB as complete (also known as “posted”), instructions about obtaining and submitting fingerprints (usually via live scan electronic transmission) will be sent to the applicant(s).

Your fingerprints will be compared to the records of the California Department of Justice and the Federal Bureau of Investigation. **If you have ever been convicted of a crime, your criminal record information will be reported to CSLB.** This includes DUIs and other Vehicle Code violations that resulted in a conviction. Even if you have had your record expunged (charges reduced or dismissed), the past conviction will still be reported to CSLB and must be disclosed on the application. **However, some convictions should NOT be disclosed, including the following:**

- Convictions that were adjudicated in a juvenile court;
- Convictions under California Health and Safety Code §11357 (b), (c), (d), or (e) or §11360 (b) that are two years old or older;
- Under certain circumstances, some arrests/convictions relating to specified marijuana offenses that occurred prior to January 1, 1976, as provided in Health and Safety Code §11361.5 (b); and
- Under certain circumstances, some arrests/convictions relating to specified drug offenses as provided in Penal Code §1000.

If you have ever been convicted of a crime (except in the four circumstances outlined above), you MUST answer “Yes” to the criminal conviction question on the application and provide a detailed explanation of the circumstances resulting in your conviction. To help ensure that you provide the required information, you should complete and submit the Disclosure Statement Regarding Criminal Plea/Conviction form that is available on CSLB’s website.

Applicants with criminal convictions are not automatically denied licensure, as each application is reviewed individually based on the applicable sections of law. When reviewing criminal convictions, CSLB considers factors such as the nature and severity of the crimes, the amount of time that has passed since the convictions, and any evidence of rehabilitation submitted by the applicant.

For rehabilitation evaluation, pursuant to the California Code of Regulations §869, CSLB is generally looking for **three (3) years** to have passed after a misdemeanor conviction and **seven (7) years** to have passed after a felony conviction, without further violations of law. These timeframes are calculated from the applicant’s date of release from incarceration or from the end of probation if no time was served and are subject to reduction or extension based on several factors, including the nature of the applicant’s conviction history as a whole. In addition, any type of conviction could be considered substantially related to the qualifications or duties of a contractor when evaluated in the context of the applicant’s entire conviction record.

Failure to accurately report any and all disclosable convictions is falsification of your application and is grounds for denial. If your application is denied, you will be prevented from filing another application for a minimum of one (1) year, and up to a maximum of five (5) years.



IMPORTANT NOTICE

Dear Applicant:

Enclosed is the application you requested from the Contractors State License Board (CSLB).

Please be aware that nearly half of all applications submitted to CSLB are incomplete and must be rejected!

You must complete your application in its entirety for it to be accepted by CSLB. After an application has been accepted by CSLB as complete (also known as “posted”), each individual listed on the application will be sent instructions on the process for obtaining and submitting fingerprints as required by law.

An incomplete application can needlessly delay your license. Delays can last several weeks or even months and possibly result in the application being voided and the application fee being forfeited.

Spending a few extra minutes now may save you weeks or months later!

Before you submit your application, follow these simple directions.

- **Sign the forms.**
- **Fill out all applicable information.**
- **Make sure you submit Certification of Work Experience forms that have been filled out completely, ensuring that you have provided four (4) years of applicable experience in the classification for which you are applying.**

Carefully read the General Information section that immediately precedes the application package and follow the specific instructions that are contained throughout the application package to help ensure that your application is complete.

Please be aware that there are no schools or application preparation organizations that are affiliated with or agents of CSLB, although some may have company names that are confusingly similar to CSLB. If you discover that an organization has misrepresented itself as being CSLB, you should submit a complaint to CSLB or the California Department of Consumer Affairs. Official CSLB examination study guides are available free of charge on CSLB’s website and are mailed to applicants when they are scheduled for exams.



APPLICATION FOR LIMITED LIABILITY COMPANY (LLC) ORIGINAL CONTRACTOR LICENSE – EXAMINATION WAIVER (7065) General Information

Listed below is general information to assist you as you complete the attached application. Detailed instructions are provided throughout the application package. Please refer to the Contractors State License Board's (CSLB) publication *Blueprint for Becoming a Licensed Contractor* for more detailed information about the licensing process. To receive a copy of *Blueprint*, please contact CSLB or visit the Guides and Pamphlets page on CSLB's website.

Do not submit this General Information section with your completed application – the actual application begins immediately following this section on Application – Page 1 of 3 and includes the Certification of Work Experience, Licensed Sole Owner Applying for LLC License, and Licensed Corporation Applying for LLC License forms, if needed. Before you submit your application, please review and check off the following items.

- Enclose the nonrefundable \$300 application fee with your completed application.** Each additional classification requires payment of a \$75 fee at the time of application. CSLB cannot process your application without the appropriate fee made payable to the Registrar of Contractors. (The \$180 initial license fee should be submitted separately when requested by CSLB staff.)
- Your application may be returned to you if it is insufficient or incomplete.** You must provide any missing information, make corrections, and resubmit your completed application to CSLB within **90 days** after the date that it was returned to you or your application will become void. You cannot reinstate a void application – you must submit a new application and \$300 application fee if you wish to pursue licensure.
- Complete the application and all accompanying forms legibly in black or dark blue ink, with a typewriter, or on CSLB's website using the form-fill feature.** Forms completed in pencil will be returned to you. Please make sure that you and other appropriate individuals sign and date the forms, where applicable.
- Full legal names are required.** You must provide the full legal name of all personnel. If an individual does not have a middle name, write "None" or "No Middle Name" in the space provided. If an individual has only an initial for his or her first or middle name, write "(Initial Only)" after the initial.
- Leave no space blank.** If a particular question or request for information does not apply to you, write "N/A" in the blank space to indicate that the question has received your attention but that it is not applicable.
- You must provide a street address for both your business and residence. P.O. Boxes and private mail boxes (PMB) are **not** acceptable for the street address.
- You must complete the personnel information requested in Section 4 on page 2 of the application for each individual who will be listed on the license. U.S. Social Security numbers are required for **all** applicants (see page 5 of this General Information section for information on the collection of Social Security numbers).

Before you submit your application, please be aware that the following requirements apply to LLC licenses (please refer to CSLB's website and the *California Contractors License Law & Reference Book* for more information):

- \$100,000 Surety Bond.** LLC licenses are required to file a \$100,000 surety bond (in addition to the \$15,000 contractor bond) for the issuance, reissuance, reinstatement, reactivation, and renewal of an LLC license for the benefit of any employee or worker damaged by the LLC's failure to pay wages, interest on wages, or fringe benefits, as well as other contributions.
- \$1 Million Liability Insurance Minimum.** LLC licenses are required to carry liability insurance with the aggregate limit of \$1 million for licensees with five or fewer persons listed as personnel; plus an additional \$100,000 required for each additional personnel; not to exceed \$5 million total.
- Personnel of Record.** Every person who is an officer, member, manager, or director must be listed as personnel of record on LLC applications. All members of the personnel of record are subject to fingerprinting.
- Qualifying Individual.** LLC licenses must be qualified by appearance of a responsible managing employee (RME), responsible managing officer (RMO), responsible managing manager, or responsible managing member.

Please detach this General Information section before submitting the completed application package to CSLB.

APPLICATION FOR LLC ORIGINAL CONTRACTOR LICENSE – EXAMINATION WAIVER (7065) General Information

The “#” symbol is used interchangeably with the word “number” where space is limited on the application.

The terms “**qualifying individual**” and “**qualifier**” are used interchangeably. The qualifying individual is the person who meets the experience and examination requirements for the license and who is responsible for the employer’s or principal’s construction operations. A qualifying individual is required for every classification on every license issued by CSLB. The qualifying individual may or may not be the owner of the business. (See *California Contractors License Law & Reference Book, Ch. 1*; or *Blueprint for Becoming a Licensed Contractor*, Questions 49-53.)

If you need additional copies of forms (e.g., Certification of Work Experience, Section 3 – Qualifying Individual Full Legal Name and Address, Section 4 – LLC Personnel Full Legal Names and Addresses), please make copies of the blank forms before you begin or print additional copies from CSLB’s website.

FINGERPRINT REQUIREMENT

All applicants for licensure are required to submit a full set of fingerprints for the purpose of conducting a criminal background check. Fingerprints will be compared to the records of the California Department of Justice and the Federal Bureau of Investigation to determine whether a criminal history exists. After an application has been accepted by CSLB as complete (also known as “posted”), each individual listed on the application will be sent instructions on the process for obtaining and submitting fingerprints as required by law. For more information, please visit CSLB’s website and view information under the Applicants tab.

CERTIFICATION OF WORK EXPERIENCE FORM

In some cases, the Certification of Work Experience form must be completed by the qualifier and certified by a person who has **direct knowledge of the experience and time period listed**. The experience must be verifiable through payroll records or similar documents. **Corrections on the Certification forms must be initialed by the certifier**. Forms containing strikeouts or modifications that may compromise the validity of the work experience certification may not be accepted.

To assist the certifier in completing Part 2 of the Certification of Work Experience form, the Description of Classifications document is available through a link on the home page of CSLB’s website. This document may be used as a reference only – the certifier should not copy directly from the document when listing the specific trade duties that the qualifier has performed or supervised in the classification for which he or she is applying.

The Certification of Work Experience form may not be required if the qualifier:

- has ever served as the qualifier on a license in the same classification for which he or she is applying; or
- has passed both the Law and Business Examination and the trade exam for the same classification within the last five years.

BUSINESS ENTITY

This application is for limited liability companies only. Applicants cannot change business entity after the application is submitted. LLCs must be registered with the California Secretary of State and in good standing prior to being issued a contractor license. LLCs must provide a current and active registration number on the application. The Secretary of State can be reached by calling (916) 653-6814 or by visiting their website at www.sos.ca.gov. **If an LLC has officers, the name(s) of their officer(s) must be listed on the application – president, secretary, and treasurer. Please be sure to write these titles in the space provided for the appropriate personnel in Sections 3 and 4 and be sure that they match those listed in the Secretary of State records.**

BUSINESS NAME STYLES

According to the Secretary of State’s office, LLC business names have specific requirements and restrictions. Interested parties should contact the Secretary of State’s office directly (www.sos.ca.gov) for full information about LLC business name issues. Briefly, LLC business names must comply with the following:

- **The name of an LLC must end with the phrase “Limited Liability Company” or the abbreviation “LLC” or “L.L.C.”** The words “Limited” and “Company” may be abbreviated to “Ltd.” and “Co.,” respectively. (*California Corporations Code [CCC] Section 17052 (a)*)

Please detach this General Information section before submitting the completed application package to CSLB.

**APPLICATION FOR LLC ORIGINAL CONTRACTOR LICENSE –
EXAMINATION WAIVER (7065)
General Information**

- The name of an LLC may not include the words "bank," "trust," "trustee," "incorporated," "inc.," "corporation," or "corp." (CCC 17052(d))
- The name of an LLC may not include the words "insurer" or "insurance company" or any words suggesting that it is in the business of issuing policies of insurance and assuming insurance risks. (CCC 17052(d))
- If the name of a foreign (out-of-state or out-of-country) LLC does not conform to the requirements of CCC 17052, the foreign LLC must agree to transact intrastate business under an assumed name that does meet the requirements of CCC 17052 in order to register with the Secretary of State. (CCC 17452)

EXAMINATION WAIVERS

The examination is waived if the qualifying individual is currently, or has been in the last five years, licensed in the classification for which he or she is applying, or if the qualifier has within the last five years taken and passed the required exams in the classification for which he or she is applying. (See *Business and Professions [B&P] Code Section 7065.*) An examination may be waived under certain circumstances – for example, if the qualifying individual is another qualifier's immediate family member who has actively participated in a family business. You must list and have evidence of your experience and involvement in the business you think qualifies you for the waiver. (See *B&P Code Sections 7065.1-7065.4.*) In addition, the trade examination may be waived if the qualifier meets the requirements for reciprocity (see below).

To apply for a waiver of the examination, you should complete this **Application for LLC Original Contractor's License – Examination Waiver (7065)**. CSLB recommends that you submit the following additional items after your application has been posted:

- \$15,000 original Contractor's Bond. Bonds may be submitted electronically by the issuing surety company. (For information on bonds, refer to *A Guide to Contractor License Bonds*, which can be accessed through the Guides and Pamphlets page on CSLB's website.)
- \$100,000 LLC surety bond (in addition to the \$15,000 bond mentioned above) for the benefit of any employee or worker damaged by the LLC's failure to pay wages, interest on wages, or fringe benefits, as well as other contributions.
- LLC liability insurance with the aggregate limit of \$1 million for licensees with five or fewer persons listed as personnel; plus an additional \$100,000 required for each additional personnel; not to exceed \$5 million total.
- Workers' Compensation Certificate of Insurance (in the business name shown on this application); or, if you have no employees, a completed Exemption from Workers' Compensation form, which can be downloaded from the Forms and Applications page on CSLB's website.
- Completed Asbestos Open Book Examination (required of all qualifiers who have not yet completed one), which can be downloaded from the Guides and Pamphlets page on CSLB's website.
- If the qualifier for the new license will be an RME, you must submit a separate \$12,500 Bond of Qualifying Individual (in addition to the \$15,000 and \$100,000 bonds mentioned above). An RME can act as the qualifier on only one active license at a time.
- For LLCs, if the qualifier for the new license will be an RMO, responsible managing manager, or responsible managing member who owns less than 10% of the membership interest of the LLC, he or she must submit a separate \$12,500 Bond of Qualifying Individual (in addition to the \$15,000 and \$100,000 bonds mentioned above).
- An RMO, responsible managing manager, and responsible managing member can act as the qualifier on up to three active licenses at a time if 1) there is common ownership of at least 20% of each business for which he or she acts as the qualifier; 2) the additional firm is a subsidiary of the first; or 3) the majority of officers or managers of the firm are the same. If the RMO qualifier owns less than 20% of the new business, he or she will be required to disassociate from or inactivate all other licenses for which he or she acts as qualifier.

RECIPROCITY

If the qualifier is licensed in the same classification in Arizona, Nevada, and/or Utah, it may be possible to have the trade examination waived. Please check the appropriate box under Section 5 on page 3 of the application and submit a Request for Verification of License form completed by the other state. For more information, refer to the Apply for Reciprocity option under the Applicants tab on CSLB's website.

Please detach this General Information section before submitting the completed application package to CSLB.

**APPLICATION FOR LLC ORIGINAL CONTRACTOR LICENSE –
EXAMINATION WAIVER (7065)
General Information**

REISSUANCE OR REASSIGNMENT OF LICENSE NUMBER

If the qualifier has previously been licensed, it may be possible to have the previous license number reissued or reassigned under certain circumstances. Sole owner and corporate license numbers may be reissued to LLCs under certain circumstances. (See *B&P Code Section 7075.1 and Form 13A-10h.*)

CONTRACTOR CLASSIFICATIONS

Listed below are the contractor license classifications. For a complete description of the classifications, refer to the Description of Classifications document available through a link on the home page of CSLB’s website.

General Engineering.....	A	Lock and Security Equipment.....	C-28
General Building.....	B	Low Voltage Systems.....	C-7
Boiler, Hot-Water Heating, and Steam Fitting.....	C-4	Masonry.....	C-29
Building Moving/Demolition.....	C-21	Ornamental Metal.....	C-23
Cabinet, Millwork, and Finish Carpentry.....	C-6	Painting and Decorating.....	C-33
Ceramic and Mosaic Tile.....	C-54	Parking and Highway Improvement.....	C-32
Concrete.....	C-8	Pipeline.....	C-34
Construction Zone Traffic Control.....	C-31	Plumbing.....	C-36
Drywall.....	C-9	Refrigeration.....	C-38
Earthwork and Paving.....	C-12	Roofing.....	C-39
Electrical.....	C-10	Sanitation System.....	C-42
Elevator.....	C-11	Sheet Metal.....	C-43
Fencing.....	C-13	Sign.....	C-45
Fire Protection.....	C-16	Solar.....	C-46
Flooring and Floor Covering.....	C-15	Steel, Reinforcing.....	C-50
Framing and Rough Carpentry.....	C-5	Steel, Structural.....	C-51
General Manufactured Housing.....	C-47	Swimming Pool.....	C-53
Glazing.....	C-17	Warm-Air Heating, Ventilating, and Air-Conditioning.....	C-20
Insulation and Acoustical.....	C-2	Water Conditioning.....	C-55
Landscaping.....	C-27	Welding.....	C-60
Lathing and Plastering.....	C-35	Well Drilling (Water).....	C-57
Limited Specialty (see below).....	C-61		

The C-61 Limited Specialty classification is for contractors who specialize in work not listed above (for example, D-50 Suspended Ceilings) or who perform work that is a specialized part of an existing classification (for example, D-29 Paper Hanging). The Description of Classifications document contains a full listing of the subcategories of the C-61 classification.

BOND AND INSURANCE REQUIREMENTS

Please refer to page 1 of this General Information section for brief information on the special surety bond and general liability insurance requirements for LLCs, as well as CSLB’s website (including *Blueprint for Becoming a Licensed Contractor*) for information on the other bond and insurance requirements for licensure. For more detailed information on bonds, refer to *A Guide to Contractor License Bonds*, which can be viewed on the Guides and Pamphlets page of CSLB’s website.

CONSTRUCTION MANAGEMENT EDUCATION ACCOUNT

A grant program was established in 1991 to provide funds for qualified public post-secondary schools that teach construction management. Applicants may voluntarily contribute to the account when paying the application fee or the license renewal fee. If you would like to make a voluntary contribution, please mark the box on the top portion of

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**APPLICATION FOR LLC ORIGINAL CONTRACTOR LICENSE –
EXAMINATION WAIVER (7065)
General Information**

page 1 of the application, write in the dollar amount in the space provided, and include that dollar amount in the total amount paid with your application, or submit a separate check or money order made payable to CSLB/Construction Management Education Account. (See *B&P Code Section 7139, Article 8.5*)

COLLECTION OF SOCIAL SECURITY NUMBERS

With the exception of the driver license numbers, all information requested on the application is mandatory, including disclosure of U.S. Social Security numbers (SSN). Collection of the SSN is authorized by B&P Code Section 30 and Public Law 94-455 (42 U.S.C.A. 405(c)(2)(C)). SSNs are used exclusively for the purpose of tax enforcement and/or compliance with any judgment or order for family support in accordance with Family Code Section 17520. If you fail to disclose your SSN, you will be reported to the Franchise Tax Board, and they may assess a \$100 penalty against you. The official responsible for the maintenance of this information is the Registrar of Contractors, Contractors State License Board. The information may be transferred to other state or government agencies. Individuals have the right to review files or records about them maintained by the agency, unless the records are identified as confidential information and exempted from the Information Practices Act, Civil Code Section 1798.3.

COLLECTION OF PERSONAL INFORMATION

CSLB collects the personal information requested on the following forms as authorized by B&P Code Section 30 and California Code of Regulations (CCR) Section 816. CSLB uses this information to identify and evaluate applicants for licensure, issue and renew licenses, and enforce licensing standards set by law and regulation. Submission of the requested information is mandatory. CSLB cannot consider your application for licensure or renewal unless you provide all of the requested information. You may review the records maintained by CSLB that contain your personal information, as permitted by the Information Practices Act. CSLB makes every effort to protect the personal information you provide us; however, it may be disclosed in response to a Public Records Act request as allowed by the Information Practices Act; to another government agency as required by state or federal law; or in response to a court or administrative order, a subpoena, or a search warrant. The application contains an applicant authorization for the Franchise Tax Board to disclose to CSLB any outstanding final liabilities for the purpose of administering B&P Code Section 7145.5. For more information on the Information Practices Act, visit the Office of Privacy Protection's website at www.privacy.ca.gov or call (866) 785-9663.

RESOURCES ON THE LICENSING PROCESS

CSLB has a variety of publications available to help you become a licensed contractor and to maintain your license. You can order free publications from CSLB's website (www.cslb.ca.gov), by writing to CSLB at the address listed below, or by calling the 24-hour automated phone system at (800) 321-CSLB (2752).

CSLB publications that can help you understand the licensing process include *Blueprint for Becoming a California Licensed Contractor*, *Description of Classifications*, *Building Your Career*, and *A Guide to Contractor License Bonds*. Please visit CSLB's website or call the toll-free phone number to find out how to order the current edition of the *California Contractors License Law & Reference Book*.

APPLICATION PROCESSING

When CSLB receives your application, an acknowledgement letter will be sent to you that explains how to check the status of your application online at www.cslb.ca.gov or by calling (800) 321-CSLB (2752) using the Application Fee Number and Personal Identification Number (PIN) provided in the acknowledgement letter. After an application has been accepted by CSLB as being complete (also known as "posted"), a fingerprint package will be sent to the applicants, as required by law. Please include your Application Fee Number in any correspondence. All correspondence should be sent to:

Contractors State License Board
9821 Business Park Drive
Sacramento, CA 95827-1703
Mailing Address: P.O. Box 26000, Sacramento, CA 95826-0026

Please detach this General Information section before submitting the completed application package to CSLB.



CONTRACTORS STATE LICENSE BOARD

9821 Business Park Drive, Sacramento, CA 95827
Mailing Address: P.O. Box 26000, Sacramento, CA 95826
800-321-CSLB (2752) | www.cslb.ca.gov | CheckTheLicenseFirst.com

STATE OF CALIFORNIA
Governor Edmund G. Brown Jr.

FOR CSLB USE ONLY

Application for Limited Liability Company (LLC)
Original Contractor License – Examination Waiver (7065)

Application Fees

- Single classification.....\$300.
Each additional classification.....\$75.
Initial license fee.....\$180.
Total fees required for original license..\$480.

The application fee for a single classification (\$300) is not refundable once the application has been filed.
Attach a money order or a personal, business, certified, or cashier's check made payable to the Registrar of Contractors. Do not send cash.
There is a \$10 service charge for each dishonored check.

- Voluntary contribution to Construction Management Education Account.....\$_____

Please type or print neatly and legibly in black or dark blue ink.

SECTION 1 – LLC BUSINESS NAME AND ADDRESS

Business Name: The legal business name is the name that will appear on the license and is the actual name under which the contracting business will operate.
Name Compatibility: The business name must be compatible with the license classification and business entity.

1. FULL NEW BUSINESS NAME
2. CLASSIFICATION(S) REQUESTED
3a. BUSINESS MAILING ADDRESS
3b. BUSINESS STREET ADDRESS
3c. BUSINESS PHONE NUMBER, BUSINESS FAX NUMBER, BUSINESS EMAIL ADDRESS

SECTION 2 – BUSINESS ENTITY

Limited Liability Company: LLCs must provide a current and active California Secretary of State registration number below.

4. NEW BUSINESS WILL OPERATE AS A LIMITED LIABILITY COMPANY: California Secretary of State Registration # _____

SECTION 3 – QUALIFYING INDIVIDUAL FULL LEGAL NAME AND ADDRESS

Qualifying Individual (Qualifier): A qualifying individual is required for every classification on every license issued by CSLB.

5. QUALIFIER'S FULL LEGAL NAME Last First Middle DATE OF BIRTH SOCIAL SECURITY NUMBER
5b. RESIDENCE ADDRESS
6. QUALIFIER'S EXISTING / PREVIOUS CSLB LICENSE NUMBER(S) PERCENTAGE OF NEW BUSINESS OWNED BY THE QUALIFIER DRIVER LICENSE NUMBER RESIDENCE PHONE NUMBER

7. TITLE OR POSITION Officer titles – president, secretary, and treasurer for LLCs that have officers.
RME* Responsible Managing Member Responsible Managing Manager RMO - Title(s)

* RMEs are prohibited from having an active sole owner license. Please visit CSLB's website for an Application to Inactivate Contractor's License, if needed.

I certify under penalty of perjury under the laws of the State of California that all statements, answers, and representations made in this application, including all supplementary statements attached hereto, are true and accurate, and that I have reviewed the entire contents of this application.

Date Signature Printed Name

(NOTE: If this license will have multiple qualifiers for multiple classifications, please make a copy of this blank page and complete items 1 and 2 in Section 1 and all of Section 3 for each additional qualifier.)

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SECTION 4 – LLC PERSONNEL FULL LEGAL NAMES AND ADDRESSES (Other than Qualifying Individual)

The following must be completed by **all** individuals who will be listed on the license. You must provide **full legal names** of all individuals. Each individual must sign the certification under penalty of perjury. (*The definition of "perjury" is telling a lie while under oath.*)

9a. PERSONNEL FULL LEGAL NAME Last First Middle			DATE OF BIRTH	SOCIAL SECURITY NUMBER	
RESIDENCE ADDRESS Number/Street Only – NO P.O. Boxes or PMBs City			State	ZIP Code	DRIVER LICENSE #
TITLE OR POSITION (check only one) <input type="checkbox"/> Member <input type="checkbox"/> Manager <input type="checkbox"/> Officer - Title(s) _____				RESIDENCE PHONE NUMBER ()	
I certify under penalty of perjury under the laws of the State of California that all statements, answers, and representations made in this application, including all supplementary statements attached hereto, are true and accurate, and that I have reviewed the entire contents of this application. I authorize the Franchise Tax Board to provide CSLB with required tax information pursuant to B&P Code Section 7145.5.					
Date	Signature		Printed Name		

9b. PERSONNEL FULL LEGAL NAME Last First Middle			DATE OF BIRTH	SOCIAL SECURITY NUMBER	
RESIDENCE ADDRESS Number/Street Only – NO P.O. Boxes or PMBs City			State	ZIP Code	DRIVER LICENSE #
TITLE OR POSITION (check only one) <input type="checkbox"/> Member <input type="checkbox"/> Manager <input type="checkbox"/> Officer - Title(s) _____				RESIDENCE PHONE NUMBER ()	
I certify under penalty of perjury under the laws of the State of California that all statements, answers, and representations made in this application, including all supplementary statements attached hereto, are true and accurate, and that I have reviewed the entire contents of this application. I authorize the Franchise Tax Board to provide CSLB with required tax information pursuant to B&P Code Section 7145.5.					
Date	Signature		Printed Name		

9c. PERSONNEL FULL LEGAL NAME Last First Middle			DATE OF BIRTH	SOCIAL SECURITY NUMBER	
RESIDENCE ADDRESS Number/Street Only – NO P.O. Boxes or PMBs City			State	ZIP Code	DRIVER LICENSE #
TITLE OR POSITION (check only one) <input type="checkbox"/> Member <input type="checkbox"/> Manager <input type="checkbox"/> Officer - Title(s) _____				RESIDENCE PHONE NUMBER ()	
I certify under penalty of perjury under the laws of the State of California that all statements, answers, and representations made in this application, including all supplementary statements attached hereto, are true and accurate, and that I have reviewed the entire contents of this application. I authorize the Franchise Tax Board to provide CSLB with required tax information pursuant to B&P Code Section 7145.5.					
Date	Signature		Printed Name		

9d. PERSONNEL FULL LEGAL NAME Last First Middle			DATE OF BIRTH	SOCIAL SECURITY NUMBER	
RESIDENCE ADDRESS Number/Street Only – NO P.O. Boxes or PMBs City			State	ZIP Code	DRIVER LICENSE #
TITLE OR POSITION (check only one) <input type="checkbox"/> Member <input type="checkbox"/> Manager <input type="checkbox"/> Officer - Title(s) _____				RESIDENCE PHONE NUMBER ()	
I certify under penalty of perjury under the laws of the State of California that all statements, answers, and representations made in this application, including all supplementary statements attached hereto, are true and accurate, and that I have reviewed the entire contents of this application. I authorize the Franchise Tax Board to provide CSLB with required tax information pursuant to B&P Code Section 7145.5.					
Date	Signature		Printed Name		

(If additional space is needed, please make a copy of this blank page.)

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SECTION 5 – REQUIRED LLC APPLICATION QUESTIONS

All questions in this section must be answered. Questions 10, 11, and 12 pertain to **all** individuals listed on this application (qualifying individual and **all** personnel listed in Section 4). If you checked "Yes" in response to any question, the person involved must attach a separate sheet with a detailed explanation for each situation.

10. **To the best of your knowledge, is anyone listed on this application (or any company the person is or was a part of, or any immediate family member of the applicant) named in or responsible for any entered and unsatisfied judgments, liens, and/or claims against any bond or cash deposit pertaining to a construction project?** (*Immediate family member is defined by BPC Section 7075.1 as a spouse, father, mother, brother, sister, son, daughter, stepson, stepdaughter, grandson, granddaughter, son-in-law, or daughter-in-law.*) Yes No

If you checked "Yes" for this question, you are required to attach a statement identifying all judgments (pending or on record), liens, past due unpaid bills, claims, or suits and a detailed explanation of the situation. Include the names and addresses of the parties involved. If the obligation was or is being discharged in bankruptcy, attach a copy of the bankruptcy filing and a copy of the creditors list.

11. **Has any individual listed on this application ever been convicted of or plead guilty or nolo contendere to ANY misdemeanor or felony in the United States or a foreign country?** **NOTE:** Convictions that were later expunged from the records of the court or set aside pursuant to California Penal Code Section 1203.4, 1203.4a, or 1203.41 or an equivalent non-California law **MUST be disclosed.** However, some convictions **should NOT be disclosed**, including the following
- Convictions that were adjudicated in a juvenile court;
 - Convictions under California Health and Safety Code Sections 11357 (b), (c), (d), or (e) or Section 11360 (b) that are two years old or older;
 - Under certain circumstances, some arrests/convictions relating to specified marijuana offenses that occurred prior to January 1, 1976, as provided in Health and Safety Code Section 11361.5 (b); and
 - Under certain circumstances, some arrests/convictions relating to specified drug offenses as provided in Penal Code Section 1000.
- Yes No

If you checked "Yes" for this question, you are required to attach a statement disclosing **all** pleas/convictions, including violated law sections, and thoroughly explain the acts or circumstances which resulted in the plea/conviction. In addition, the following information must be included for **each** plea/conviction: date of the plea/conviction, level of the plea/conviction (i.e., misdemeanor or felony), county and state where the violation took place, name of the court, court case number, sentence imposed, jail/prison term served, terms and conditions of parole or probation, parole or probation completion dates, and parole agent/probation officer names and phone numbers. **You may submit the required information using the Disclosure Statement Regarding Criminal Plea/Conviction form that is available on the Forms and Applications page on CSLB's website.**

The information provided will be verified through CSLB's fingerprinting requirement. Failure to report a disclosable plea/conviction is considered falsification of your application and is grounds for denial of your application.

12. **To the best of your knowledge, has anyone on this application (or any company the person was a part of, or any immediate family member of the applicant) ever received a citation from the Contractors State License Board or had a contractor license or other professional or vocational license or registration denied, suspended, or revoked by this state or elsewhere?** (*Check "No" if the license was suspended due to lack of a bond, workers' compensation insurance, a qualifying individual, or family support.*) Yes No

If you checked "Yes" for this question, you are required to attach a statement detailing the events leading to this action.

13. (*This question must be answered by the qualifying individual.*) The Registrar of Contractors has determined that direct supervision and control includes any one or a combination of the following activities: supervising construction, managing construction activities by making technical and administrative decisions, checking jobs for proper workmanship, or direct supervision on construction job sites. **Will you, as the qualifying individual, perform one or more of these duties?** Yes No

14. (*This question must be answered only if the qualifying individual is a Responsible Managing Employee [RME].*) CCR Section 823 states that an RME must work at least 32 hours per week or 80% of the total operating hours per week for the entity for which he or she acts as the qualifier. **Will you, as the Responsible Managing Employee, meet the requirement of CCR Section 823 cited above?** Yes No

RECIPROCITY – If you are seeking waiver of the trade exam because you are licensed in one of the specified classifications in **Arizona, Nevada, and/or Utah**, please check this box. Use this application (form 13A-1a) **only** if you have already passed the Law and Business Examination and are seeking waiver of the trade exam alone. If you have **not** yet passed the Law and Business Examination, use the Application for Original Contractor License (form 13A-1). For more information, refer to page 3 of 5 in the General Information section.

MILITARY – If you are currently serving in the military (California National Guard or United States Armed Forces) or previously served, please check this box. For information about the services that CSLB offers military personnel, their families, and veterans, including expedited application processing and experience credit for military duties, refer to CSLB's website.

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CERTIFICATION OF WORK EXPERIENCE

General Information

- **This form must be filled in completely in order to document work experience or the application will be returned. You must type or print neatly and legibly in black or dark blue ink – pencil is not acceptable.**
- **FORMS CONTAINING STRIKEOUTS OR MODIFICATIONS MAY BE REJECTED.**
- **Corrections on the Certification of Work Experience forms must be initialed by the certifier.**
- **Original signatures are required** – faxed, photocopied, or stamped signatures are not acceptable.
- All qualifying individuals and certifiers must be at least 18 years old.
- All Certification of Work Experience forms must be submitted with the application.
- The Certification of Work Experience form, when filed with an application, becomes the property of CSLB and is kept as a matter of record. **Make a copy of the completed and signed form for your records** – you may be asked to provide further documentation or testimony to verify your experience.
- If, within the last five (5) years, you have passed an examination in the classification for which you are now applying, you may not need to complete this form. Such applicants should complete the Application for Original Contractor License – Examination Waiver (7065). However, if you are applying for a waiver of the examination pursuant to B&P Code Sections 7065.1(b) or 7065.1(c), you do need to complete this form. *(Please refer to Blueprint for Becoming a Licensed Contractor for more information on exam waivers.)* **NOTE: If your previous application was denied on the basis of a lack of qualifying work experience, you must complete this form, regardless of whether or not you passed the exam.**
- **Anyone who knowingly obtains or offers false or forged documents to be filed, registered, or recorded in any public office in California is guilty of a felony. (Penal Code Section 115)**

PART 1 – QUALIFYING INDIVIDUAL INFORMATION

- **The qualifying individual must complete Part 1 in its entirety before the certifier completes Part 2.**

PART 2 –WORK EXPERIENCE AND CERTIFICATION STATEMENT

- **The certifier must complete Part 2 in its entirety after the qualifying individual has completed Part 1.**
- **The qualifying individual must document at least four (4) full years of journeyman-level or higher experience in the classification for which he or she is applying. The experience must have been obtained within the last 10 years.**
- **The qualifying individual’s work experience must have been completed at the level of journeyman, foreman, supervisor, or contractor, or as an owner-builder or self-employed individual, as defined below:**
 - A “journeyman” is an experienced worker who is fully qualified (as opposed to a trainee, helper, laborer, assistant, apprentice, etc.) and is able to perform the trade without supervision, or a person who has completed an apprenticeship program. *(CCR Section 825)*
 - A “foreman” or “supervisor” is a person who has the knowledge and skills of a journeyman and directly supervises construction projects.
 - A “contractor” is an individual who is currently a licensed California contractor, a former licensed California contractor, personnel of record on a California license, or an out-of-state licensed contractor. A contractor has the skills necessary to manage the daily activities of a construction business, including field supervision.
 - An “owner-builder” (a person who performs work **solely on his or her own properties**) or a “self-employed individual” must have the knowledge and skills of a journeyman as listed above and the skills necessary to manage the daily activities of a construction business, including field observation. Owner-builders must complete and submit the Construction Project Experience form.
- The Description of Classifications document may be used as a reference only and is available through a link on the home page of CSLB’s website. You should not copy directly from the document when listing the specific trade duties the qualifying individual have performed or supervised in the classification for which he or she is applying.
- **The Certification Statement at the bottom of the form must be completed by a qualified, responsible person who is able to certify the work experience of the qualifier.** The certifier can be an employer, fellow employee, journeyman, union representative, contractor, business associate, or a client if the applicant is/was self-employed. This form will help CSLB determine whether the qualifier has the experience necessary to become a capable, qualified contractor.
- **The certifier must have direct knowledge of the qualifier’s experience during the time period listed.** “Direct knowledge” means personal knowledge of the experience that does not depend on outside information or hearsay. The certifier must be able to certify that the qualifier demonstrated a level of knowledge and skills expected of a journeyman or higher in the classification for which he or she is applying.
- **Any licensee whose signature appears on a falsified Certification of Work Experience form, or who otherwise certifies false or misleading experience claims submitted by an applicant to obtain a contractor license, will be subject to disciplinary action. (B&P Code Section 7114.1)**



CONTRACTORS STATE LICENSE BOARD

9821 Business Park Drive, Sacramento, CA 95827
Mailing Address: P.O. Box 26000, Sacramento, CA 95826
800.321.CSLB (2752) | www.cslb.ca.gov | CheckTheLicenseFirst.com

STATE OF CALIFORNIA
Governor Edmund G. Brown Jr.

Certification of Work Experience

Please read the General Information section on the previous page before beginning.

The qualifying individual must complete the information in Part 1; the individual certifying the experience (certifier) must complete Part 2. The experience must be verifiable through payroll records or similar documents. If additional space is needed to list the trade duties, please attach a separate sheet.

Use a separate form for each employer. If you need additional forms, please make a copy of this blank form or visit CSLB's website.

Please type or print neatly and legibly in black or dark blue ink.

FORMS CONTAINING STRIKEOUTS OR MODIFICATIONS MAY NOT BE ACCEPTED.

Corrections on the Certification of Work Experience forms must be initialed by the certifier.

PART 1 - QUALIFYING INDIVIDUAL INFORMATION

The qualifying individual must complete Part 1 in its entirety.

1. QUALIFIER'S FULL LEGAL NAME Last First Middle
2. BUSINESS NAME OF EMPLOYER - OR, IF YOU WERE SELF-EMPLOYED, LEAVE THIS SPACE BLANK AND CHECK THIS BOX
3. EMPLOYER'S BUSINESS STREET ADDRESS Number/Street Only - NO P.O. Boxes City State ZIP Code
4. WAS THE EXPERIENCE OBTAINED WORKING ON YOUR OWN PROPERTY AS AN OWNER-BUILDER

PART 2 - WORK EXPERIENCE AND CERTIFICATION STATEMENT

The certifier must complete Part 2 in its entirety after the qualifying individual has completed Part 1.

5. APPLICANT'S JOURNEYMAN-LEVEL OR HIGHER TIME-BASE WORKED WAS (check one):
6. IN THE SPACE BELOW, LIST ALL SPECIFIC TRADE DUTIES APPLICANT PERFORMED OR SUPERVISED IN THE CLASSIFICATION FOR WHICH HE/SHE IS APPLYING.
My relationship to Name of Qualifying Individual (Applicant) is or was (check all that apply):
CERTIFIER'S STREET ADDRESS Number/Street Only - NO P.O. Boxes City State ZIP Code
PHONE NUMBER FAX NUMBER EMAIL ADDRESS
I certify that I have direct knowledge of the work covering the time period outlined above. I certify under penalty of perjury, under the laws of the State of California, that the information stated above is true and correct.
7. Date Signature Printed Name

Note: For information on the collection of personal information, please refer to the General Information section at the beginning of this application package, under the heading "Collection of Personal Information."

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Licensed Sole Owner or Corporation Applying for
Limited Liability Company (LLC) License

This form must be completed by existing sole owners or corporations applying for an LLC license and
must be submitted in conjunction with a completed Application for Limited Liability Company (LLC)
Original Contractor License – Examination Waiver (7065).

Sole ownership and corporate licenses applying for an LLC license MUST complete this form.

Regardless of whether or not the licensee wants his or her existing sole owner or corporate license number reissued to the LLC,
this form is required. You must complete and sign the form below,

- Checking the "Yes" box and providing the requested information if you do want the license number reissued and you meet the
criteria listed below,

OR

- Checking the "No" box if you do not want the license number reissued – a new license number will be issued.

Table with 2 columns: California law allows a sole ownership license number to be reissued to an LLC if: and California law allows a corporate license number to be reissued to an LLC if:.

Please be aware that once the number is reissued, it belongs to the LLC and cannot be reissued to an individual or
corporation at a later date.

Please type or print neatly and legibly in black or dark blue ink. Incomplete forms are not accepted.

1. NAME OF NEW LLC (as shown on the Application for LLC Original Contractor License – Examination Waiver)

2. EXISTING CSLB LICENSE NUMBER

3. NAME OF EXISTING LICENSEE (as shown on CSLB records)

4. PLEASE CHECK ONLY ONE BOX BELOW:

- YES - I certify that I own % of the LLC. I understand that the license number cannot be reissued.
NO - DO NOT Please issue a new license number to the LLC.

Date Signature Printed Name

Notice About Reissued License Numbers and Joint Venture Licenses

When a sole ownership or corporate license number that was previously associated with a joint venture license is reissued to an LLC, the joint venture
license is cancelled. The remaining entities may request a continuance of the joint venture license to complete projects already contracted for or in
progress before the cancellation date. The continuance may be granted for a period of up to one year. The written request must be received by CSLB
headquarters within 90 days after the cancellation date (date of reissue).

* CSLB interprets the phrase "more than 50%" as meaning at least 51%. (B&P Code Section 7075.1)

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